2126 Attorney Docket 103.1046.01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

if re Application of:

Rowe

Art Unit:

2126

Serial No.

09/660,824

Examiner:

Hoang, P.

Filed:

9/13/2000

For:

A Mechanism to Survive Server

Failures When Using The CIFS

Protocol

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted under:

| \boxtimes | 37 CFR 1.97(b) (Within three months of the national application filing or the entry of international application, OR before the mailing date of first Office Action, whichever occurs last.) |
|-------------|--|
| | 37 CFR 1.97(c) together with either a: |
| <u>or</u> | Statement under 37 CFR 1.97(e) \$180.00 fee set forth in 37 CFR 1.17(p) |
| | (After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance, whichever occurs first.) |
| | 37 CFR 1.97(d) together with a: |
| | ☐ Statement under 37 CFR 1.97(e) |
| and | \$180.00 fee set forth in 37 CFR 1.17(p) |
| | (After the CFR 1.97(c) time period, but before payment of the Issue fee.) |

List of Documents

| Applicant(s) submits herewith: | | | | | | |
|--|--|--|--|--|--|--|
| Form PTO SB/08A (Information Disclosure Statement by Applicant) listing patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56. | | | | | | |
| Form PTO SB/08B (Information Disclosure Statement by Applicant) listing non-patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56. | | | | | | |
| Copies of Documents | | | | | | |
| Applicant(s) submits herewith copies of <u>all</u> listed documents. | | | | | | |
| Copies of the listed documents are not included with this filing. Copies of these documents were previously submitted in the following related application: | | | | | | |
| Docket No.: Serial No.: Filed: | | | | | | |
| THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE LISTED DOCUMENTS. | | | | | | |
| At the present time, Applicant(s) submits that the listed document(s), taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested. | | | | | | |
| In the event fees are required, authorization is hereby granted to charge these fees to Deposit Account No. 50-0365. | | | | | | |
| It is requested that the information disclosed herein be made of record in this application. | | | | | | |
| Date: 10-29-2004 Respectfully submitted, Steven A. Swernofsky Reg. No. 33,040 | | | | | | |
| Swernofsky Law Group | | | | | | |

Swernofsky Law Group P.O. Box 390013 Mountain View, CA 94039-0013 (650) 947-0700

| | • • | e a plus sign (+) inside th work Reduction Act of 199 | | | Approved for Patent and Trademark C pond to a collection of information | PTO/SB/08A (08-0 or use through 10/31/2002. OMB 0651-003 office: U.S. DEPARTMENT OF COMMERC unless it displays a valid OMB control numbe | |
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| ı | | | (. | MOV 0 1 2004 &) | Application Number | 09/660,824 | |
| i | INF | ORMATION I | DIŚ | ELOSURE | Filing Date | 9/13/2000 | |
| ı | STA | TEMENT BY | / Al | PREIGANT | First Named Inventor | Rowe | |
| | | | | | Group Art Unit | 2126 | |
| L | | (use as many sheets | s as n | necessary) | Examiner Name | Hoang, P. | |
| | Sheet | 1 | of | 1 | Attorney Docket Number | 103.1046.01 | |

| U.S. PATENT DOCUMENTS | | | | | | | | |
|-----------------------|---|-----------|-----------------------------------|---|--|--|--|--|
| Examiner Initials* | | | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | 1 | 4,907,150 | | Arroyo et al. | 03-06-1990 | | | |
| | 2 | 6,295,611 | B1 | Connor et al. | 09-25-2001 | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | |
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| Examiner | Cite | Foreign Patent Document ite Kind Code | | | Name of Patentee or Applicant of | Date of Publication of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant | | | | |
| Initials* | Initials* No ¹ Office ³ Number ⁴ (if known) | | Cited Document | MM-DD-YYYY | Figures Appear | T⁵ | | | | | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Considered. Include copy of this form with next communication to applicant.

1. Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 4. Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/21 (08-03)

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|---|--|----------|--|------------|--|--|
| | TA TRADEMARY | | Application Number | 09/660 | | |
| TRA | NSMITTAL | | Filing Date | Sep 13 | | |
| | FORM | | First Named Inventor | Rowe, | · | |
| (to be used for all o | correspondence after initial fili | ing) | Art Unit | 2126 | | |
| | | | Examiner Name | Hoang, | . P. | |
| Total Number of Pa | ges in This Submission | 4+ | Attorney Docket Number | 103.10 | | |
| | | ENC | LOSURES (check all the | | 1 | |
| | | | | at appiy | After Allowance communication | |
| Fee trans | mittal Form | | Drawing(s) | | to Group | |
| Fee | Attached | Ш | Licensing-related Papers | | Appeal Communication to Board of Appeals and Interferences | |
| Amendme | nt / Reply | | Petition | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| Afte | r Final | | Petition to Convert to a Provisional Application | | Proprietary Information | |
| Affic | lavits/declaration(s) | | Power of Attorney, Revocation Change of Correspondence Ad | ldress | Status Letter | |
| Extension | of Time Request | | Terminal Disclaimer | | Other Enclosure(s) (please identify below): | |
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| X Information | Disclosure Statement | <u>ய</u> | CD, Number of CD(s) | | | |
| Certified Co | opy of Priority (s) | Rem | arks | | | |
| | to Missing Parts/ | | | | | |
| Respo | onse to Missing Parts 37 CFR 1.52 or 1.53 | | | | | |
| didei | 37 61 17 1.32 61 1.33 | | | | | |
| [| SIGNA | TURE | OF APPLICANT, ATTORN | EY, OR | AGENT | |
| Firm or Individual name | Steven A. Swernofsky | | | | Reg. No. 33,040 | |
| Signature | 542 UR. C | | | • | | |
| Date | 10-58-500 | 1 7 | | | | |
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| Type or printed nam | 1e DODE STOL | ur- | | | | |
| Signature | <u></u> | | | | Date 0/2 /2 d | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**